

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on 8/14/01  
Date of Deposit

Gregory M. Zinkl, Ph.D.  
Name of applicant, assignee or  
Registered Representative  
Gregory M. Zinkl  
(Signature)  
8/14/01  
Date of Signature

Case No. 10716/12

Genentech/CuraGen Nos. P1878R1 and CURA-104

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Lewin et al.

Serial No.: 09/715,418

Attention: Manager,  
Application Branch

Filed: November 16, 2000

For: WNT-REGULATED  
CYTOKINE-LIKE  
POLYPEPTIDE AND  
NUCLEIC ACIDS  
ENCODING SAME

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

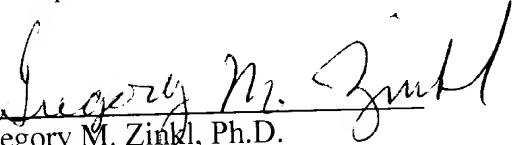
In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated April 16, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

175

- Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- Applicant is:
  - a small entity, verified statement is:
    - attached
    - already filed
  - other than small entity
- Checks totaling \$130.00 for:
  - Filing Fee of \$\_\_\_\_\_.
  - Surcharge of \$130.00.
  - Additional Claim Fees of \$\_\_\_\_\_.
- Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- Other: Change of Correspondence Address and Change of Attorney Docket Number.
- The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

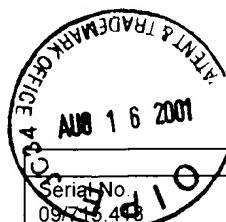
Respectfully submitted,

Dated: 8/14/01

  
\_\_\_\_\_  
Gregory M. Zinkl, Ph.D.  
Registration No. P-48,492  
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

rev. Dec -99  
Document3



TRANSMITTAL LETTER			Case No. 10716/12
Serial No. 09/715,448	Filing Date November 16, 2000	Examiner To be assigned	Group Art Unit 1645
Inventor(s) Lewin et al.			
Title of Invention WNT-REGULATED CYTOKINE-LIKE POLYPEPTIDE AND NUCLEIC ACIDS ENCODING SAME			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Response to Notice to File Missing Parts of Nonprovisional Application; Petition and Fee for Extension of Time; Declaration; Power of Attorney; Copy of Notice to File Missing Parts of Nonprovisional Application; Change of Correspondence Address and Change of Attorney Docket Number; check in the amount of \$130.00; check in the amount of \$390.00 and return postcard.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a 2 month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		Other Than Small Entity	
Rate	Add'l Fee	Rate	Add'l Fee
x \$9=		x \$18=	
x 40=		x \$80=	
+\$135=		+\$270=	
	Total add'l fee	\$	Total add'l fee

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$130.00 and \$390.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Gregory M. Brinkl, Ph.D.  
Registration No. P-48,492  
Agent for Applicant

BRINKS HOFER GILSON & LIONE  
P O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

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10716/2

Page 1 of 1

NSB/JJS

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/715,418	11/16/2000	Luca Rastelli	15966-604

## CONFIRMATION NO. 7715

## FORMALITIES LETTER



\*OC000000005972454\*

Ivor R Elrifi  
Mintz Levin Cohn Ferris Glovsky and Popeo PC  
One Financial Center  
Boston, MA 02111

Date Mailed: 04/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

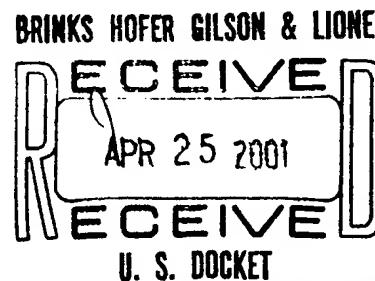
*A copy of this notice **MUST** be returned with the reply entry.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Done By \_\_\_\_\_

<input type="checkbox"/> Docket Entry	_____
<input type="checkbox"/> Docket Class Off	_____
<input type="checkbox"/> Previous Entered	_____
<input type="checkbox"/> No Docketing Req	_____
<input checked="" type="checkbox"/> E-File	_____
<input type="checkbox"/> Annuities	_____

THE GILSON CO.  
APR 20 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

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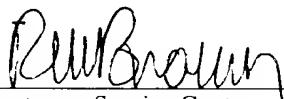
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- **The balance due by applicant is \$ 65.**

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*A copy of this notice MUST be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE